

Substitute for form 1449A/PTO  
**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Use as many sheets as necessary)

Complete if Known

Application Number	09/706576
Filing Date	November 3, 2000
First Named Inventor	Schmidt, Brian
Group Art Unit	3747
Examiner Name	Dolinar, Andrew

Sheet 1 of 4

Attorney Docket No: 279.268US1

RECEIVED  
OCT 20 2004  
TECHNOLOGY CENTER R3100

### US PATENT DOCUMENTS

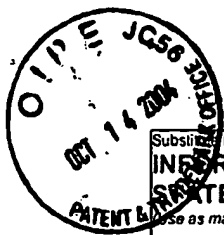
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
AO	US-2003/0077509 A1	04/24/2003	Probst, Joseph M., et al.	426	162	10/16/2002
AO	US-2003/0195568, A1	10/16/2003	O'Phelan, Michael J., et al.	607	5	04/17/2003
AO	US-2004/0019268 A1	01/29/2004	Schmidt, Brian L., et al.	600	407	04/15/2003
AO	US-2004/0114311 A1	06/17/2004	O'Phelan, M. J., et al.	361	530	12/05/2003
AO	US-2004/0127952 A1	07/01/2004	O'Phelan, M. J., et al.	607	36	02/07/2003
AO	US-2004/0173835 A1	09/09/2004	Schmidt, Brian L., et al.	257	301	03/18/2004
AO	US-3,803,457	04/09/1974	Yamamoto, H.	317	230	05/07/1973
AO	US-3,993,508	11/23/1976	Erllichman, Irving	29	623.2	06/20/1975
AO	US-4,169,003	09/25/1979	Dangel, Phoenix N., et al.	156	179	11/17/1977
AO	US-4,171,477	10/16/1979	Funari, J.	219	56.21	12/17/1976
AO	US-4,232,099	11/04/1980	Sullivan, Charles I.	429	152	02/06/1976
AO	US-4,394,713	07/19/1983	Yoshida, K.	361	502	12/05/1980
AO	US-4,425,412	01/10/1984	Dittmann, John F., et al.	429	53	05/26/1982
AO	US-4,481,083	11/06/1984	Ball, John A., et al.	204	38A	08/31/1983
AO	US-4,553,304	11/19/1985	Fleuret, D.	29	25.42	05/31/1983
AO	US-4,659,636	04/21/1987	Suzuki, Yoshie, et al.	429	54	11/22/1984
AO	US-4,683,516	07/28/1987	Miller, J. R.	361	328	08/08/1986
AO	US-4,745,039	05/17/1988	Yoshinaka, Minoru	429	54	11/17/1986
AO	US-5,173,375	12/22/1992	Cretzmeyer, John W., et al.	429	72	12/14/1990
AO	US-5,175,067	12/29/1992	Taylor, William J., et al.	429	181	11/09/1990
AO	US-5,306,581	04/26/1994	Taylor, William J., et al.	429	181	04/08/1992
AO	US-5,422,200	06/06/1995	Hope, Stephen F., et al.	429	157	07/27/1994
AO	US-5,554,178	09/10/1996	Dahl, R W., et al.	607	122	04/26/1995

EXAMINER

*A. M. Dolinar*

DATE CONSIDERED

*1/6/05*



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO  
**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Use as many sheets as necessary)

Complete if Known

Application Number	09/706576
Filing Date	November 3, 2000
First Named Inventor	Schmidt, Brian
Group Art Unit	3747
Examiner Name	Dolinar, Andrew

Sheet 2 of 4

Attorney Docket No: 279.268US1

RECEIVED  
OCT 20 2004  
TECHNOLOGY CENTER R3700

10	US-5,640,756	06/24/1997	Brown, R. L., et al.	29	701	02/08/1995
10	US-5,691,079	11/25/1997	Daugaard, Jorgen	429	152	07/07/1995
10	US-5,774,261	06/30/1998	Omori, Shigeru, et al.	359	464	09/23/1994
10	US-5,776,632	07/07/1998	Honegger, Allen	429	185	10/03/1996
10	US-5,779,699	07/14/1998	Lipson, David	606	41	03/29/1996
10	US-5,811,206	09/22/1998	Sunderland, Walter C., et al.	429	181	10/31/1997
10	US-5,882,362	03/16/1999	Muffoletto, Barry C., et al.	29	623.1	04/27/1998
10	US-5,901,867	05/11/1999	Mattson, Larry J.	215	261	06/24/1997
10	US-6,002,969	12/14/1999	Machek, et al.	607	122	08/05/1998
10	US-6,004,692	12/21/1999	Muffoletto, Barry C., et al.	429	163	04/27/1998
10	US-6,030,480	02/29/2000	Face, Jr., S. A., et al.	156	160	07/21/1998
10	US-6,040,082	03/21/2000	Haas, D. P., et al.	429	163	07/30/1997
10	US-6,052,625	04/18/2000	Marshall, Mark T.	607	122	11/09/1998
10	US-6,104,961	08/15/2000	Conger, Steven, et al.	607	122	02/18/1999
10	US-6,110,321	08/29/2000	Day, J., et al.	156	286	02/28/1997
10	US-6,117,194	09/12/2000	Strange, Thomas F., et al.	29	25.03	01/12/1999
10	US-6,139,986	10/31/2000	Kurokawa, Teruhisa, et al.	429	61	06/16/1998
10	US-6,162,264	12/19/2000	Miyazaki, Yuichi, et al.	29	623.5	06/16/1997
10	US-6,225,778	05/01/2001	Hayama, Hideki, et al.	320	112	07/18/2000
10	US-6,249,709	06/19/2001	Conger, Steven, et al.	607	122	02/18/1999
10	US-6,256,542	07/03/2001	Marshall, Mark T., et al.	607	126	02/22/2000
10	US-6,259,954	07/10/2001	Conger, Steve, et al.	607	122	02/18/1999
10	US-6,324,049	11/27/2001	Inagawa, M., et al.	361	502	12/08/1997
10	US-6,326,587	12/04/2001	Cardineau, Yan, et al.	219	121.68	07/30/1999
10	US-6,375,688	04/23/2002	Akami, K., et al.	29	25.03	09/07/1999
10	US-6,404,619	06/11/2002	Marshall, Timothy R., et al.	361	526	12/09/1999
10	US-6,409,776	06/25/2002	Yan, Jenn-Feng, et al.	29	25.03	06/30/2000
10	US-6,413,283	07/01/2002	Day, et al.	29	25.3	02/08/2001
10	US-6,451,073	09/17/2002	Farahmandi, C. J., et al.	29	25.03	05/16/2000

EXAMINER

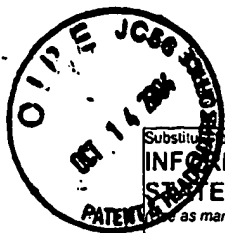
*A. M. Dolinar*

DATE CONSIDERED

*1/6/05*

Substitute Disclosure Statement Form (PTO-1449)

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if known

Application Number	09/706576
Filing Date	November 3, 2000
First Named Inventor	Schmidt, Brian
Group Art Unit	3747
Examiner Name	Dolinar, Andrew

RECEIVED  
OCT 20 2004  
TECHNOLOGY CENTER R3700

Sheet 3 of 4

Attorney Docket No: 279.268US1

10	US-6,509,588	01/21/2003	O'Phelan, Michael J., et al.	257	209	01/01/2003
10	US-6,522,525	02/18/2003	O'Phelan, Michael J., et al.	361	509	11/03/2000
10	US-6,571,126	05/27/2003	O'Phelan, Michael J., et al.	607	5	11/03/2000
10	US-6,628,505	09/30/2003	Andelman, M. D.	361	541	02/12/2002
10	US-6,674,634	01/06/2004	O'Phelan, Michael J., et al.	361	509	11/04/2002
10	US-6,684,102	01/27/2004	O'Phelan, Michael J., et al.	607	5	11/03/2000
10	US-6,687,118	02/03/2004	O'Phelan, Michael J., et al.	361	508	11/03/2000
10	US-6,699,265	03/02/2004	O'Phelan, Michael J., et al.	607	1	11/03/2000
10	US-6,709,946	03/23/2004	O'Phelan, Michael J., et al.	438	396	11/19/2002
10	US-6,763,265	07/13/2004	O'Phelan, M. J., et al.	607	5	04/17/2003
10	US- 2001/0020319	09/13/2001	Farahmandi, C. J., et al.	29	25.03	02/13/2001

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
	JP 52-004051	01/12/1977	Nakamura, Jin, et al.	h01g 9/04		x
	JP 59-083772	05/15/1984	Teramatsu, Makoto	C23F 1/02	H01G1 /01	x
10	WO-00/19470	04/06/2000	O'Phelan, M., et al.	H01 G	9/055	
	WO 98/27562	06/25/1998	Benz, Michael E., et al.	H01 G	4/18	

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>4</sup>
10		O'Phelan, Michael J., et al., "CAPACITOR HAVING A FEEDTHROUGH ASSEMBLY WITH A COUPLING MEMBER", Application Serial No. 09/706,579, Filed November 3, 2000 Attorney Docket No. 279.271US1, 29 pgs.	
10		O'Phelan, Michael J., et al., "CAPACITOR HAVING A FEEDTHROUGH ASSEMBLY WITH A COUPLING MEMBER", Application Serial No. 10/846,805, Filed May 14, 2004 Attorney Docket No. 279.271US2, 39 pgs.	

EXAMINER

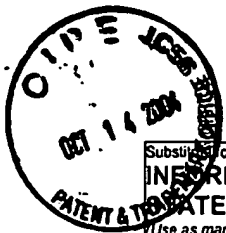
A.M. Dolinar

DATE CONSIDERED

1/6/05

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language translation is attached.



Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)	<b>Complete if Known</b>	
	Application Number	09/706576
	Filing Date	November 3, 2000
	First Named Inventor	Schmidt, Brian
	Group Art Unit	3747
	Examiner Name	Dolinar, Andrew
Sheet 4 of 4	Attorney Docket No: 279.268US1	

10		O'PHELAN, MICHAEL J., et al., "FLAT CAPACITOR FOR AN IMPLANTABLE MEDICAL DEVICE", Application Serial No. 10/758,677, Filed January 15, 2004 Attorney Docket No. 279.234US3, 219 pgs.	
10		O'PHELAN, MICHAEL J., et al., "FLAT CAPACITOR FOR AN IMPLANTABLE MEDICAL DEVICE", Application Serial No. 10/758,701, Filed January 15, 2004 Attorney Docket No. 279.234US4, 219 pgs.	
10		O'PHELAN, MICHAEL J., "FLAT CAPACITOR HAVING AN ACTIVE CASE", Application Serial No. 09/706,517, Filed November 3, 2000 Attorney Docket No. 279.235US1, 39 pgs.	
10		O'PHELAN, MICHAEL J., et al., "IMPLANTABLE HEART MONITORS HAVING CAPACITORS WITH ENDCAP HEADERS", Application Serial No. 10/736,209, Filed December 15, 2003 Attorney Docket No. 279.088US2, 19 pgs.	
10		O'PHELAN, MICHAEL J., et al., "IMPLANTABLE HEART MONITORS HAVING FLAT CAPACITORS WITH CURVED PROFILES", Application Serial No. 10/729,424, Filed December 4, 2003 Attorney Docket No. 279.171US3, 28 pgs.	
10		O'PHELAN, MICHAEL, et al., "METHOD FOR INTERCONNECTING ANODES AND CATHODES IN A FLAT CAPACITOR", Application Serial No. 10/874,798, filed June 23, 2004 Attorney Docket No. 279.823US1, 39 pgs.	
10		O'PHELAN, MICHAEL, et al., "METHOD OF CONSTRUCTING A CAPACITOR STACK FOR A FLAT CAPACITOR", Application Ser. No. 10/882,144, Filed June 30, 2004 Attorney Docket No. 279.169US3, 57 pgs.	

RECEIVED  
OCT 20 2004  
TECHNOLOGY CENTER R3700

EXAMINER

*A. M. Dolinar*

DATE CONSIDERED

*1/6/05*